

Republic of Croatia

Earthquake Recovery and COVID-19 Response Project (P173998)

[Draft Version for Negotiations]
**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

May 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Croatia (hereinafter the **Borrower**) through the Ministry of Construction and Physical Planning (MoCPP) and the Ministry of Health (MoH) will implement the **Croatia Earthquake Recovery and COVID-19 Response Project** (the **Project**). The International Bank for Reconstruction and Development (hereinafter the **Bank**) has agreed to provide financing for the Project.
2. **The Borrower will implement material measures and actions so that the Project is implemented in accordance** with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Borrower is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Borrower as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower with the involvement of MoCPP and MoH will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Borrower. The Borrower will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, implementation of the ESCP status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Quarterly throughout Project implementation.</p>	<p>MoCPP Project Implementation Unit (PIU) will be responsible for reporting to the Bank (MoH PIU to provide their input to MoCPP PIU for Component 2).</p> <p>Funding from the Project budget</p>
B	<p>INCIDENTS AND ACCIDENTS NOTIFICATION</p> <p>Prepare and implement an incident reporting procedure, indicating details of the incident, institutional responsibilities, immediate measures to address the reported incident and information requirements to be provided by the contractor or supervision engineer as appropriate.</p> <p>Promptly notify the Bank within 48 hours of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including health and safety serious injuries and road accidents.</p>	<p>The incident reporting procedure prepared prior to loan effectiveness and Maintained throughout Project implementation.</p> <p>Notify the Bank within 48 hours after learning of the incident or accident.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from the Project budget.</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Require all contractors to provide monthly monitoring reports to the Project Implementing Unit (MoCPP). Such reports should be submitted to the Bank by the PIU upon request for Component 1 activities with potentially substantial environmental and social risks.</p>	<p>Providing monthly ESMPs implementation and OHS issues reports.</p>	<p>MoCPP - PIU</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>MoCPP will establish and maintain organizational structures within main PIU and MoH will establish and maintain organizational structures of the second PIU with qualified staff and resources to support the management of environmental, social, health & safety (ESHS) risks and impacts of the Project including-a minimum of one environment and one social risk management specialists, and one communications and community outreach specialist.</p>	<p>The PIUs Environmental, Social and Communication/CO specialists shall be appointed no later than 30 days after the Project Effectiveness Date.</p> <p>Main PIU with qualified staff and resources should be maintained throughout Project implementation.</p>	<p>MoCPP for activities under Components 1 MoH for activities under Component 2</p> <p>Funding from Project budget.</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <ul style="list-style-type: none"> a. Prepare, disclose and adopt separate Environmental and Social Management Frameworks (ESMF), one for Project’s Component 1 and one for Project’s Component 2, to set out policies and procedures to address the environmental and social risks and impacts of the Project in accordance with ESS1 and other relevant ESSs in a manner acceptable to the Bank. b. Project’s Component 1: prepare, disclose, adopt, and implement any Environmental and Social Management Plans (ESMPs), ESMP checklists or other instruments such as Labor Management Procedures (LMP), Waste Management Plans (WMP), Traffic Management Plans (TMP) and any other instruments required under the ESMF under Component 1 , in accordance with the relevant ESSs, and other relevant Good International Industry Practice (GIIP) in a manner acceptable for the Bank. c. Project’s Component 2: prepare, disclose, adopt, and implement any checklists (ESMP Checklists), Infection Control and Medical Waste Management Plans (ICWMPs) or other instruments required under the Component 2 ESMF, in accordance with the relevant ESSs, the Component 2-ESMF, and other relevant Good International Industry Practice (GIIP) including the WHO Country & Technical Guidance - Coronavirus disease (COVID-19) and the WHO Guidelines on Safe Management of Wastes from Health-Care Activities in a manner acceptable for the Bank. d. Incorporate the relevant aspects of this ESCP, including, LMP, WMP, ICWMP, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts. e. Include environment and social considerations in line with the requirements of the ESSs in the terms of reference (TORs) for all hired consultants and submit them to the Bank for no objection. 	<ul style="list-style-type: none"> a. The ESMFs (Component 1 and Component 2, respectively) to be prepared no later than 60 days and 30 days respectively after the Project Effectiveness Date. b. Component 1 - plans or instruments prepared before launching the procurement process for the relevant activities, and thereafter implemented throughout the carrying out of such activities. c. Component 2 - plans or instruments prepared before launching the procurement process for the relevant activities, and thereafter implemented throughout the carrying out of such activities. d. Before launching the procurement process for the relevant activities and thereafter ensure that contractors and supervising firms comply with the ESHS specifications in their contracts through their involvement in the respective Project activities. e. Before launching the recruiting process for all consultants. 	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from Project funds</p>

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<p>1.3 EXCLUSIONS</p> <p>The following types of activities shall not be eligible for finance under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts • Activities that have a high probability of causing serious adverse effects to human health and/or the environment not related to treatment of COVID-19 cases • Activities that may have significant adverse social impacts and may give rise to significant social conflict • Activities that may affect lands or rights of vulnerable minorities, • Activities that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage, • Activities related to public and private buildings classified as cultural heritage. <p>All the other excluded activities set out in the ESMFs of the Project.</p>	<p>These exclusions shall be applied as part of the assessment process conducted under action 1.2.a. above.</p>	<p>MoCPP PIU</p>
<p>ESS 2: LABOR AND WORKING CONDITIONS</p>		

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>2.1 LABOR MANAGEMENT PROCEDURES</p> <p>a. The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Labor Management Procedures (LMP) and sections on Environment Health and Safety shall be included in the ESMFs.</p> <p>b. For Project's Component 2: The necessary protocols for treating patients and handling medical waste, disinfectant protocols, regular testing of healthcare workers, requirements for proper disposal of sharp objects, along with the environmental health and safety guidelines for staff and necessary Personal Protective Equipment (PPE), will be included in the Infection Control and Medical Waste Management Plan (ICWMP) to be adopted by and then implemented by health facilities and laboratories participating in the project.</p>	<p>The ESMFs (including LMPs) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively.</p> <p>Labor Management Procedures will be applied throughout Project implementation.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from the Project budget</p>
<p>2.2 GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Develop, maintain, and operate a grievance mechanism (GM) for Project workers as described in the LMP and consistent with national labor legislation and the ESS2 requirements. Ensure contractor(s) of Component 1 and Component 2 develop their GM for their employees as per Contractor-LMP.</p>	<p>A GM shall be prepared no later than 60 days after the Project Effectiveness Date for Component 1 and Component 2 respectively. and will be used throughout Project implementation.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from project resources and contractors' budgets</p>
<p>2.3 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>As part of the ESMFs specified in 1.2, develop and implement occupational health and safety measures (OHS) for project workers in accordance with ESS2.</p>	<p>The ESMFs (including OHS) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from project resources and contractors' budgets</p>
<p>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage health care wastes and other types of hazardous and non-hazardous wastes.</p>	<p>The ESMFs (including all these aspects) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively.</p> <p>Implemented throughout Project duration.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from project resources and contractors' budgets</p>
3.2	<p>MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS</p> <p>As part of the Component 1-ESMF specified in 1.2, develop and implement measures and procedures for management of waste and hazardous materials during demolition, dismantling and disposal. Component 2-ESMF specified in 1.2 will include the requirements on the disposal of medical waste in accordance with the Infection Control and Medical Waste Management Plan (ICWMP), which is part of the ESMF, to proper licensed hazardous waste management facilities (if any).</p>	<p>The ESMFs (including management of waste and hazardous materials) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively.</p> <p>Implemented throughout Project duration.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from project resources and contractors' budgets</p>
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>As part of the Component 1-ESMF specified in 1.2 above, develop and implement a road safety management procedure to address potential hazards on communities resulting from construction activities and operationalization of construction equipment. Include actions under the above procedure in the site-specific ESMPs or ESMP checklists. As part of its bid the successful contractors will be required to submit a preliminary traffic management plan as part of their ESMPs. Before work commencement, the traffic management plan will be updated and implemented subsequently.</p>	<p>Procedure developed as part of the Component 1-ESMF no later than 60 days after the Project effectiveness date for Component 1.</p>	<p>MoCPP PIU</p> <p>Funding from project resources and contractors' budgets</p>
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>As part of the ESMFs specified in 1.2 above, prepare, adopt, and implement measures to manage specific risks and impacts (such as behavior of project workers, labor influx, sexual exploitation and abuse or harassment, potential for community exposure to communicable diseases) to the community arising from Project activities; include these measures in the ESMPs and other instruments to be prepared in accordance with the ESMF, in a manner acceptable to the Bank.</p>	<p>The ESMFs (including all these aspects) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively.</p> <p>Implemented throughout Project duration.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from project resources and contractors' budgets</p>
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	Not considered currently relevant.		

ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	As part of the ESMF, develop and implement nature and biodiversity protection in accordance with the national legislation and ESS6.	The ESMFs (including all these aspects) to be prepared no later than 60 days and 30 days after the Project effectiveness date for Component 1 and Component 2 respectively. Implemented throughout Project duration.	Project Implementation Units/PIUs (MoCPP and MoH) Funding from project resources and contractors' budgets
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Not relevant.		
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS The chance finds procedures will be part of the Component 1-ESMF and will be applicable to all contracts involving any works under the project.	The ESMF (including all these aspects) to be prepared no later than 60 after the Project effectiveness date for Component 1 Implemented throughout Project duration.	MoCPP - PIU Funding from project resources and contractors' budgets
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN Prepare, disclose, adopt, and implement a Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Bank (one SEP document covering both Component 1 and Component 2).	An initial SEP was already prepared and disclosed and shall be updated and disclosed no later than 30 days after the Project Effectiveness Date. The SEP shall be implemented throughout the Project implementation period.	Project Implementation Units/PIUs (MoCPP and MoH) Funding from Project budget
10.2	GRIEVANCE MECHANISM Accessible grievance mechanism (GM) and grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Bank.	A GM for Component 1 and Component 2 respectively shall be prepared and operationalized no later than 30 days after the Project Effectiveness Date and will be used throughout Project implementation.	Project Implementation Units/PIUs (MoCPP and MoH) Funding from the Project budget
CAPACITY SUPPORT (TRAINING)			

<p>CS1</p>	<p>TRAINING OF PIU STAFF</p> <p>Provide basic training to all MoCPP and MoH PIUs staff on basic ESF and related environmental and social issues; Provide in-depth training to PIUs’ environmental, social specialists, and communications and community outreach specialist, as well as to all other staff responsible for ensuring full compliance with the ESF and relevant instruments on:</p> <ul style="list-style-type: none"> • OHS, environmental and social assessments, • ESMP preparation, • Labour influx, community health and safety, • Stakeholder engagement and grievance redress, • WHO Guidelines on Safe Management of Wastes from Health-Care Activities • National sanitary norms and regulations. • Codes of conduct • Monitoring and reporting, and • Other relevant topics. 	<p>Initial training within three months after the Project Effectiveness Date.</p> <p>Refresher trainings at least once a year or as needed, during project implementation.</p>	<p>Project Implementation Units/PIUs (MoCPP and MoH)</p> <p>Funding from the Project budget</p>
<p>CS2</p>	<p>TRAINING FOR CONTRACTORS’ STAFF</p> <p>Provide training on implementation of environmental and social due diligence documents (e.g. OHS, environmental and social assessments, labour influx, community health and safety, stakeholder engagement, grievance redress, codes of conduct, etc.) to all staff working with contractors and sub-contractors that are responsible for environment, and social issues.</p>	<p>Prior to commencing works</p>	<p>MoCPP PIU</p> <p>Funding from the Project budget</p>